CS251 Fall 2020

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## **Recursive SNARKs**

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## Hitchhikers guide to the galaxy



# What if we want to verify that computation?

#### Input



#### Long Computation

#### **Recap: Non-interactive Proof Systems**

A non-interactive proof system is a triple (S, P, V):

S(C) → public parameters (S<sub>p</sub>, S<sub>v</sub>) for prover and verifier

 $(S_p, S_v)$  is called a *reference string* 

- $P(S_p, x, w) \rightarrow \text{proof } \pi$
- $V(S_{v}, x, \pi) \rightarrow \text{accept or reject}$

## **SNARKs for long computations**

#### lssues:

- -P takes very long
- -Starts after proving *after* computation finished
- -Can't hand off computation
- -S also runs at least linear in



C – Circuit for long computation  $S(C) \rightarrow (S_p, S_v)$ x = (input, output)w = transcript

Input

(ok if many proofs)

Long Computation, Transcript

Output (42)  $P(S_p, x, w) \rightarrow \pi$  $V(S_v, x, \pi) \rightarrow \text{accept}$ 

## Handing off computation

 $C_I$  – Circuit for long intermediate computation

$$\mathbf{S}(C_{I}) \rightarrow (\mathbf{S}_{p}, \mathbf{S}_{v})$$

$$x_{1} = (input, int_{1}), w_{1} = transcript_{1}$$

$$x_{2} = (int_{1}, int_{2}), w_{2} = transcript_{2}$$

$$x_{3} = (int_{2}, output), w_{3} = transcript_{3}$$

$$\mathbf{P}(\mathbf{S}_{p}, x_{i}, w_{i}) \rightarrow \pi_{i}$$

$$\mathbf{V}(\mathbf{S}_{v}, x_{1}, \pi_{1})$$

$$\mathbf{V}(\mathbf{S}_{v}, x_{2}, \pi_{2})$$

$$\mathbf{V}(\mathbf{S}_{v}, x_{3}, \pi_{3})$$

$$|\pi|/ \mathbf{V} \text{ linear in } \# \text{handoffs}$$
Output (42),  $\pi_{3}$ 

transcript<sub>1</sub>

transcript<sub>2</sub>

transcript<sub>3</sub>

#### **Incremental Proofs**

• We need updatable/incremental proofs

 $C_I$ - Circuit per computation step, t number of steps/handoffs  $\mathbf{S}(C_I) \rightarrow (\mathbf{S}_{p}, \mathbf{S}_{v})$   $\mathbf{P}(\mathbf{S}_{p}, \mathbf{x}_{i}, \mathbf{w}_{i}, \pi_{i-1}) \rightarrow \text{updated proof } \pi_i // \pi_0 = \perp$  $\mathbf{V}(\mathbf{S}_{v}, \mathbf{x}_{0}, \mathbf{x}_{t}, \pi_{t}, \mathbf{t}) \rightarrow \text{accept/reject}$ 

 $|\pi_i| = |\pi_{i-1}|$  // proofs don't grow

## **PhotoProof**



Allow valid updates of photo and provide proof

### **PhotoProof**



Proof allows valid edits only, Incrementally updated

#### **Recursive Proofs**

• How can we build incremental proofs?

"Proof of a proof": A proof that  $\pi_2$  that I know a proof  $\pi_1$  that  $C(x_1, w_1) = 0$ 

"Proof of a proof of a proof ...": A proof that  $\pi_2$  that I know a proof  $\pi_1$  which proves knowledge of a proof  $\pi_0$  that  $C(x_0, w_0) = 0$ 

$$S(C) \to S_P, S_V$$
$$\pi \leftarrow P(x, w)$$

Now write a circuit C' that verifies  $\pi$ :

- Input x' is x
- Witness w' is  $\pi$
- C'(x', w') = 0 iff  $V(S_V, \pi, x) = Accept$ Finally:

$$S(C') \to S'_P, S'_V \\ \pi' \leftarrow P(x', w')$$

- Note that C' depends only on V and S<sub>v</sub>
- We can express **V** as a circuit:



- We can also make C' more complex...
  - Input x' is  $x_0, x_1$
  - Witness w' is  $\pi$ ,  $w_1$
  - C'(x', w') = 0 iff  $V(S_V, \pi, x_0) = Accept \text{ AND } C(x_1, w_1) = 0$

- We can also make C' more complex...
  - Input x' is  $x_0, x_1$  C implements  $x_1 = F(x_0)$
  - Witness w' is  $\pi$ ,  $w_1$ ,  $x_0$
  - C'(x', w') = 0 iff  $V(S_V, \pi, x_0) = Accept \text{ AND } C(x_0, x_1, w_1) = 0$
- What about proving verification of  $\pi'$ ?
- Needs new C'' that has  $S'_V$  hardcoded?
- Do we need to re-run setup for every additional level of recursion?
- In some applications we can use the same  $S_V$  over and over again
  - Repeated application of single function

- Do verifiers need to re-run setup for every additional level of recursion? Proposed solution: make S<sub>V</sub> part of the witness
- Modify definition  $C'_i$ :
  - Input x' is  $x_0, x_1, ..., x_i$
  - Witness w' is  $\pi, w_i, S_V$
  - C'(x', w') = 0 iff  $V(S_V, \pi, x_0, \dots, x_{i-1}) = Accept$  AND  $S(C'_{i-1}) \rightarrow (S_P, S_V)$  AND  $C(x_i, w_i) = 0$
- Now  $C'_i$  may accept as witness a proof  $\pi$  that is a proof for  $C'_{i-1}$ generated using parameters  $(S_P^{i-1}, S_V^{i-1}) \leftarrow S(C'_{i-1})$ . Prover still needs to re-run setup as part of proving.

#### **Universal SNARK Verifier**

- What goes wrong when the setup is trusted? Making S<sub>V</sub> a witness does not work.
  - $S_V$  "exists" even for proofs of false statements
  - Proof system only sound when prover does not know the secrets involved in the generation of  $S_V$ .
  - The existence of  $(S_V, \pi)$  that the algorithm V would accept is meaningless.
- New solution: **universal SNARK verifier**

#### **Universal SNARK verifier**

- UC is a circuit that takes inputs (C, x, w) and outputs C(x, w)
- $S(UC) \rightarrow (US_P, US_V)$  are parameters of SNARK system for UC
- Think of UC as an x86 processor
  - Takes in input and instructions and executes them
- Define  $C'_i$ :
  - Input x' is  $x_0, x_1, ..., x_i$
  - Witness w' is  $\pi$ ,  $w_i$ ,  $S_V$
  - C'(x', w') = 0 iff  $V(US_V, \pi, C'_{i-1}, x_0, \dots, x_{i-1}) = Accept$  AND AND  $C(x_i, w_i) = 0$



#### **Recap: SNARKRollup**



#### **Recap: Rollup**



#### **Rollup with many coordinators**



## SNARK<sup>2</sup>-Rollup

- Multiple coordinators/servers
- Each responsible for subset of users (no overlaps)
- Super coordinator (can be one of the coordinators)
- Super coordinator combines summaries (balance table) and creates one proof that

## SNARK<sup>2</sup>-Rollup

• Let **root**<sub>i</sub> be the Merkle Tree Root of summary i



- $C_{SC}(x = S_V, root; w = root_1, root_2 ..., \pi_1, \pi_2, ...)$ :
- $V(S_V, x = root_i, \pi_i)$  for all i and **root**=MT( $root_i$ s)

## SNARK<sup>2</sup>-Rollup

• Let **root**<sub>i</sub> be the Merkle Tree Root of summary i



- $C_{SC}(x = S_V, root; w = root_1, root_2 ..., \pi_1, \pi_2, ...)$ :
- $V(S_V, x = root_i, \pi_i)$  for all i and **root**=MT( $root_i$ s)

#### SNARK<sup>3</sup>-Rollup



## **Enhancing transactions with SNARKs**

- We've seen that private transactions require zeroknowledge proofs
- Add ZK-SNARKs to every transaction
- Level 1 coordinators verify transaction by verifying transaction ZK-SNARKs
- Additionally we can have more complicated transactions (Smart Contracts)
  - Transaction verification is constant time regardless of proof complexity

## **SNARK<sup>3</sup>-Rollup**

- Smart Contract SC<sub>i</sub>
- $C_t = \text{Commit}(\text{Smart Contract State t}, r_t)$
- $C_{t+1} = \text{Commit}(\text{Smart Contract State t+1}, r_{t+1})$
- $S_{V_i}, S_{P_i} \leftarrow S(ZK SC_i) // Zero-Knowledge SC$
- Key-root=MT( $S_{V_i}$ s) // Merke tree of all verification keys
- $ZK SC_i(x_i = C_t, C_{t+1}; w_i = states t, t + 1, r_t, r_{t+1})$ :
  - $C_t$ ,  $C_{t+1}$  commit to *states* t, t + 1 and transition is valid

## **SNARK<sup>3</sup>-Rollup**

- Each user creates  $\pi_i \leftarrow \mathbf{P}(S_{P_i}, x_i, w_i)$  (**ZK**) and outputs
  - $C_{t+1,i}, \pi_i$
- Level 1 coordinator takes many outputs and produces one proof using Key-root to select the correct verification key
- The coordinator does not care about which key is used just that it's the correct one
- Possible to hide state transition AND smart contract details

### **Constant size blockchains**

- Rollup reduces the verification cost
- Still linear in the number of state updates
- When a node joins the network they need to verify one rollup proof per block!
- In general starting a full node requires verification of all blocks
  - Can take days!

#### **Constant size Blockchain**



#### **Constant size Blockchain**



### **Constant size Blockchain**

- Light clients can verify every block!
  - Low memory, low computation
  - Independent of length of chain or #transactions
- Relies on data serving nodes for synching

• Practical today!

#### END OF LECTURE

Next lecture: Crypto tricks and open discussion Please attend last two lectures if you can